



R F D

Results Framework Document
for
Ministry of Tribal Affairs

(2009-2010)

Section 1: Vision, Mission, Objectives and Functions

Vision

To facilitate the reduction and removal of the gap in the Human Development Indices (HDIs) of the Scheduled Tribe population vis-à-vis the general population and help empower socially and economically the Scheduled Tribes, including women, to enable them to exercise effective control over their life style, their natural resource base and to make informed choices.

Mission

The Ministry of Tribal Affairs along with various line Ministries of Government of India is fully committed as per the prescription contained in the Allocation of Business Rules to enhance the well-being of all the Scheduled Tribes in the country through a multi-pronged strategy as under:

1. Formulation and Promotion of Legislative and Executive interventions (Good Politics),
2. Facilitating the upgradation of levels of administration in Scheduled Areas through area and population targeted approaches (Good Governance), and
3. Furthering the creation of basic infrastructure for increasing livelihood opportunities, and for providing nutritional support, education, essential skills and a social safety net for situations of climatic and other distress conditions (Good Development Core).

Objectives

- 1 (a) Establish transparent mechanisms that enable policy makers to know what is happening in tribal areas throughout the country, for understanding the basic needs of tribals, for identifying issues that hamper the fulfillment of these needs, and therefrom, suggesting policies and programmes that will ensure better targeting through good governance. (b) Frequently interact with State Governments of Scheduled Areas and their Governors for operating laws and regulations protecting the rights of Scheduled Tribes; and for not operating legislation considered not to be in the interest of Scheduled tribes as provided under the Fifth Schedule of the Constitution of India.
- 2 (a) Facilitate State Governments to upgrade the existing administration in their Tribal Areas for ensuring focused and targeted delivery of services and social welfare products. (b) Co-coordinate with line ministries as well as State Govt.s/ UTs Administration for ensuring that their plans and programmes incorporate the specificities of tribal needs and, therefore, build in differential norms and delivery modalities compared to the rest of the country. (c) Fill-in the gaps in service deficient tribal areas in sectors such as rights, education, health, drinking water, livelihood and social security through the efforts of Voluntary Organisations, State Govt.s/ UTs, and Central Ministries. (d) Bring about efficiency and transparency in the functioning of the Ministry through outsourced monitoring and evaluation; and by uploading as much programme progress as possible on the Ministry's website.
- 3 Help State Governments to: (a) Better design proposals under Article 275(1) Proviso of Constitution of India for

Section 1: Vision, Mission, Objectives and Functions

creating livelihood supporting infrastructure that is relevant, of excellent quality and is in convergence with other infrastructure development schemes of Government of India/ State Govts. (b) Monitor the implementation of the Conservation-cum-Development (CCD) Plans for PTGs.

- 4 Frequently interact with State Governments of Scheduled Areas and their Governors for operating laws and regulations protecting the rights of Scheduled Tribes; and for not operating legislation considered not to be in the interest of Scheduled tribes as provided under the Fifth Schedule of the Constitution of India.
- 5 Co-coordinate with line ministries as well as State Govt.s/ UTs Administration for ensuring that their plans and programmes incorporate the specificities of tribal needs and, therefore, build in differential norms and delivery modalities compared to the rest of the country.
- 6 Fill-in the gaps in service deficient tribal areas in sectors such as rights, education, health, drinking water, livelihood and social security through the efforts of Voluntary Organisations, State Govt.s/ UTs, and Central Ministries.
- 7 Bring about efficiency and transparency in the functioning of the Ministry through outsourced monitoring and evaluation; and by uploading as much programme progress as possible on the Ministry's website.
- 8 Monitor the implementation of the Conservation-cum-Development (CCD) Plans for PTGs.

Functions

- 1 Tribal Welfare: Tribal welfare planning, project formulation, research, evaluation, statistics and training.
- 2 Social Security and social insurance with respect to the Scheduled Tribes.
- 3 Scheduled Tribes, including scholarship to students belonging to such tribes.
- 4 Promotion and development of voluntary efforts on tribal welfare.
- 5 Development of Scheduled Tribes.
- 6 (a) Scheduled Areas. (b) matters relating to autonomous districts of Assam excluding roads and bridge works and ferries thereon; and (c) regulations framed by the Governors of States for Scheduled Areas and for Tribal Areas specified in Part 'A' of the Table appended to paragraph 20 of the Sixth Schedule to the Constitution.
- 7 (a) Commission to report on the administration of Scheduled Areas and the welfare of the Scheduled Tribes; and (b) issue of directions regarding the drawing up and execution of schemes essential for the welfare of the Scheduled Tribes in any State.
- 8 The National Commission for Scheduled Tribes.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
1 (a) Establish transparent mechanisms that enable policy makers to know what is happening in tribal areas throughout the country, for understanding the basic needs of tribals, for identifying issues that hamper the fulfillment of these needs, and therefrom, suggesting policies and programmes that will ensure better targeting through good governance. (b) Frequently interact with State Governments of Scheduled Areas and their Governors for operating laws and regulations protecting the rights of Scheduled Tribes; and for not operating legislation considered not to be in the interest of Scheduled tribes as provided under the Fifth Schedule of the Constitution of India.	20.00	Process the finalization of the National Tribal Policy	Presentation before MTA, and redrafting of the policy	Date	5.000	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010
		Initiate establishment of National Tribal Data Centre for capturing and analyzing all HDI related indices	Move Planning Commission for approval	Date	2.000	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010
		Convene Parliamentary Consultative Committee Meetings on ST welfare	2 Meetings (1 during session and 1 inter-session)	Date	4.000	28/02/2010	15/03/2010	22/03/2010	29/03/2010	31/03/2010
		Coordinate with PMO to Constitute a National Tribes Advisory Council (NTAC)	Notification	Date	4.000	31/03/2010	--	--	--	--
		Activate State Tribal Advisory Councils (TACs)	Contact 2 out of 9 Schedule V states	Date	5.000	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010
2 (a) Facilitate State Governments to upgrade the existing administration in their Tribal Areas for ensuring focused and targeted delivery of services and social welfare products. (b) Co-coordinate with line ministries as well as State Govt.s/ UTs Administration for ensuring that their plans and programmes incorporate	55.00	Development of a Model/ Plan for raising the level of administration in Scheduled Areas by outsourcing the study proposal. (New Initiative)	Commissioning of the study	Date	8.250	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
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						100%	90%	80%	70%	60%
<p>the specificities of tribal needs and, therefore, build in differential norms and delivery modalities compared to the rest of the country.</p> <p>(c) Fill-in the gaps in service deficient tribal areas in sectors such as rights, education, health, drinking water, livelihood and social security through the efforts of Voluntary Organisations, State Govt.s/ UTs, and Central Ministries.</p> <p>(d) Bring about efficiency and transparency in the functioning of the Ministry through outsourced monitoring and evaluation; and by uploading as much programme progress as possible on the Ministry's website.</p>										
	Formulation of TSP Cells in Line Ministries	Persuade at least two (2) Ministries	Date	2.750	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010	
	Interact initially with major States for preparing effective Tribal Sub Plans	Interact with one (1) State	Date	2.750	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010	
	Persuade major underperforming States to complete decision on all claims received by June 2009 for distributing pattas under Scheduled Tribes and other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2008	Interact with six (6) states over phone and through visits (2)	Date	2.750	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010	
	Scrutiny and processing of projects under SCA to TSP programme	Amount of allocated funds under BE released to states by year end	%	5.500	75	70	65	62	60	
		Ensure meeting the target of six lakh beneficiaries each year	%	5.500	90	80	70	65	60	
	Provide financial assistance through Grant-in-Aid to	Sanctioning after receipt of proposals complete in	Days	5.500	30	40	50	58	60	

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Voluntary Organisation and release of funds	all respects							
		Provide financial assistance through scholarships	Amount of funds released	%	2.750	100	90	80	70	60
		Provide scholarship to ten lakh students	Provide scholarship to ten lakh students	%	2.750	90	80	70	65	60
		Augment infrastructure for education (construction of residential schools/ hostels) (Demand driven)	Amount of funds released	%	2.750	100	90	80	70	60
			Creation of 8000 additional seats in hostels/ ashram schools	%	2.750	90	80	70	65	60
		Special Coaching (Demand driven)	Amount of funds released vis-a-vis budget	%	5.500	70	68	65	62	60
		Establishment of a monitoring system for NGO/ VO run projects by outsourcing the study (New Initiative)	Commissioning of the project	Date	2.750	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010
		Undertaking independent evaluation of NGO/ VO run projects by outsourcing the study (New Initiative)	Commissioning of the study	Date	2.750	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010
3 Help State Governments to: (a) Better design proposals under Article 275(1) Proviso of Constitution of India for creating livelihood supporting infrastructure that is relevant, of excellent quality and is in convergence with other infrastructure development schemes of Government of India/ State Govts. (b) Monitor the implementation of the Conservation-cum-Development (CCD) Plans for PTGs.	20.00	Scrutiny and processing of the projects for specific infrastructure development and welfare projects under Article 275 (1) proviso of the Constitution of India	Amount of allocated funds under BE released each year for purposes intended	%	12.000	80	75	70	65	60
		Compehensive Conservation-cum-Development plans for	Review field progress in one (1) state	Date	8.000	15/03/2010	22/03/2010	29/03/2010	30/03/2010	31/03/2010

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
		the development of PTGs by State Governments								
* Efficient Functioning of the RFD System	5.00	Timely submission of Draft for Approval	On-time submission	Number	2.000	0.00	1.00	2.00	3.00	4.00
		Timely submission of Results	On- time submission	Number	2.000	0.00	1.00	2.00	3.00	4.00
		Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Number	1.000	0.00	1.00	2.00	3.00	4.00

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
1 (a) Establish transparent mechanisms that enable policy makers to know what is happening in tribal areas throughout the country, for understanding the basic needs of tribals, for identifying issues that hamper the fulfillment of these needs, and therefrom, suggesting policies and programmes that will ensure better targeting through good governance. (b) Frequently interact with State Governments of Scheduled Areas and their Governors for operating laws and regulations protecting the rights of Scheduled Tribes; and for not operating legislation considered not to be in the interest of Scheduled tribes as provided under the Fifth Schedule of the Constitution of India.	Process the finalization of the National Tribal Policy	Presentation before MTA, and redrafting of the policy	Date	--	--	22/03/2010	31/03/2011	--
	Initiate establishment of National Tribal Data Centre for capturing and analyzing all HDI related indices	Move Planning Commission for approval	Date	--	--	22/03/2010	31/03/2011	31/03/2012
	Convene Parliamentary Consultative Committee Meetings on ST welfare	2 Meetings (1 during session and 1 inter-session)	Date	--	--	15/03/2010	31/03/2011	31/03/2012
	Coordinate with PMO to Constitute a National Tribes Advisory Council (NTAC)	Notification	Date	--	--	31/03/2010	31/03/2011	--
	Activate State Tribal Advisory Councils (TACs)	Contact 2 out of 9 Schedule V states	Date	--	--	22/03/2010	--	--
2 (a) Facilitate State Governments to upgrade the existing administration in their Tribal	Development of a Model/ Plan for raising the level of administration in Scheduled	Commissioning of the study	Date	--	--	22/03/2010	31/03/2011	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
<p>Areas for ensuring focused and targeted delivery of services and social welfare products.</p> <p>(b) Co-coordinate with line ministries as well as State Govt.s/ UTs Administration for ensuring that their plans and programmes incorporate the specificities of tribal needs and, therefore, build in differential norms and delivery modalities compared to the rest of the country.</p> <p>(c) Fill-in the gaps in service deficient tribal areas in sectors such as rights, education, health, drinking water, livelihood and social security through the efforts of Voluntary Organisations, State Govt.s/ UTs, and Central Ministries.</p> <p>(d) Bring about efficiency and transparency in the functioning of the Ministry through outsourced monitoring and evaluation; and by uploading as much programme progress as possible on the Ministry's website.</p>	Areas by outsourcing the study proposal. (New Initiative)							
	Formulation of TSP Cells in Line Ministries	Persuade at least two (2) Ministries	Date	--	--	22/03/2010	31/03/2011	31/03/2012
	Interact initially with major States for preparing effective Tribal Sub Plans	Interact with one (1) State	Date	--	--	22/03/2010	31/03/2011	31/03/2012
	Persuade major underperforming States to complete decision on all claims received by June 2009 for distributing pattas under Scheduled Tribes and	Interact with six (6) states over phone and through visits (2)	Date	--	--	22/03/2010	31/03/2011	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
	other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2008							
	Scrutiny and processing of projects under SCA to TSP programme	Amount of allocated funds under BE released to states by year end	%	83.05	86.76	70	75	80
		Ensure meeting the target of six lakh beneficiaries each year	%	100	--	80	90	90
	Provide financial assistance through Grant-in-Aid to Voluntary Organisation and release of funds	Sanctioning after receipt of proposals complete in all respects	Days	25	25	40	35	30
	Provide financial assistance through scholarships	Amount of funds released	%	95.37	88.41	90	90	90
		Provide scholarship to ten lakh students	%	91.9	104.2	80	90	95
	Augment infrastructure for education (construction of residential schools/ hostels) (Demand driven)	Amount of funds released	%	94.74	98.96	90	90	90
		Creation of 8000 additional seats in hostels/ ashram schools	%	100	100	80	85	90
	Special Coaching (Demand driven)	Amount of funds released vis-a-vis budget	%	--	--	68	70	70
	Establishment of a monitoring system for NGO/ VO run projects by outsourcing the study (New Initiative)	Commissioning of the project	Date	--	--	22/03/2010	--	--
	Undertaking independent evaluation of NGO/ VO run projects by outsourcing the study (New Initiative)	Commissioning of the study	Date	--	--	22/03/2010	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
3 Help State Governments to: (a) Better design proposals under Article 275(1) Proviso of Constitution of India for creating livelihood supporting infrastructure that is relevant, of excellent quality and is in convergence with other infrastructure development schemes of Government of India/ State Govts. (b) Monitor the implementation of the Conservation-cum-Development (CCD) Plans for PTGs.	Scrutiny and processing of the projects for specific infrastructure development and welfare projects under Article 275 (1) proviso of the Constitution of India	Amount of allocated funds under BE released each year for purposes intended	%	97.57	81.68	75	80	80
	Comprehensive Conservation-cum-Development plans for the development of PTGs by State Governments	Review field progress in one (1) state	Date	--	--	22/03/2010	31/03/2011	31/03/2012
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Number	23	20	125	23	25
	Timely submission of Results	On- time submission	Number	23	20	23	23	23
	Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Number	23	40	32	23	23

* Mandatory Objective(s)

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

- The success indicators are self-explanatory in some cases and as focused as is possible for demand driven schemes.
- Complete project proposals would mean receiving specific proposals from the States complete as per prescribed proforma along with UCs and progress reports.
- The target of beneficiaries fixed each year under the SCA to TSP Programme (Objective 2(c), action 2) is achieved and reported by States only in the subsequent year. Thus, the target fixed for 2008-09 will be achieved in 2009-10.
- Under Objective 2(c), action 4, viz. Provide financial assistance through scholarships, the physical target of 10,03,142 students is made up of:
 - (i) Post- Matric scholarship (PMS) = 10,00,000 students
 - (ii) Rajiv Gandhi National Fellowship (RGNF) =2,777 students (renewal of 2010 students who were selected up to 2008-09 + 667 new Fellowships for 2009-10)
 - (iii) National Overseas scholarship (NOS) =15 students (every year there is target of 15 new students)
 - (iv) Top class education =350 students

Total =10,03,142 students

Similarly, under action 5, viz. "Augment infrastructure for education (construction of residential schools/ hostels)", MoTA implements 2 schemes, viz. "Hostels for ST girls and boys" and "Establishment of Ashram Schools in TSP Areas". Targets are derived from budgetary allocation as these are need based and demand driven schemes subject to receipt of complete proposals from States/ UTs/ Universities. The physical targets for 2009-10 have been worked out as below:

1. Hostels = 3450 seats
2. Ashram Schools = 5350 seats

Total = 8800 seats

- No targets/ outputs can be indicated under the programme of Grants-in-aid under Article 275(1) Proviso of Constitution of India since the development projects are determined by State Governments on an annual basis depending on needs shown up from Gram Sabha levels.

Section 5:
Specific Performance Requirements from other Departments

Department	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Ministry of Finance	<i>Allocation of Budgetary support and allocation to States/ UTs and intimation thereof.</i>	- Annual Outlays for programmes of the Ministry - Concurrence for funds release based on GFR guidelines only, not progress between releases within same year	To communicate to States intimating their annual allocation under each programme.	N.A	States cannot formulate the schemes in its absence
State Governments	<i>As noted at Objective S.No.2(c) Actions under S. No. 2,3,4,5,6.and Objective S. No.3, Actions under S. No.1 and 2.</i>	Proposals complete in all respects as per guidelines of the scheme	For timely processing of proposals	All	Funds cannot be released and the targeted objective suffers
State Governments	<i>As noted at Objective S. No. 2(c), Actions under S. No 2, 3, 4, 5, 6 and Objective S. No. 3, Actions under S. No.1and 2.</i>	Utilization Certificates	For timely processing of proposals	All	Funds cannot be released and the targeted objective suffers

Line Ministries	<i>As noted at Objective S. No. 2(b), Actions under S. No 2</i>	To formulate TSP Cells in all line Ministries	Effective planning	At least 2	Problems in earmarking TSP funds available with each Ministry
DOP&T and Ministry of Social Justice & Empowerment	All	-Mandatory Filling-up of common cadre posts/ organized services at the cutting edge level -Deployment of SIU so as to rationalize and improve processing and monitoring of schemes/ proposals	Smooth and efficient functioning to achieve target of success indicators	All Sanctioned posts	Ministry under performs

Others:

- Annual Outlays for programmes of the Ministry are to be communicated by Ministry of Finance for onward communication to States intimating their annual allocation.
- Ministry is dependent on State Governments for successful implementation of programmes (i.e. to say States are to submit proposals in time with relevant details and effectively utilize funds released to them).
- Major constraint is the paucity of officers related to the workload. New work is added but not staff; hence the suggestion to outsource.
- The time of officers and staff are also taken up in other activities like Parliament Questions, Parliamentary Committees, Examining Recommendations of various Committees, Annual Plans and Budget-related Work, Preparation of Annual Report, Outcome budget, and RFD, Audit-related work, VIP References, RTI Applications, Inter-Ministerial References, Court Cases, etc.

