



R F D

Results Framework Document
for
Department of Rural Development

(2009-2010)

Section 1: Vision, Mission, Objectives and Functions

Vision

Sustainable and inclusive growth of rural India

Mission

Sustainable and inclusive growth of rural India through a multi pronged strategy for eradication of poverty by increasing livelihood opportunities, providing social safety net and developing infrastructure for growth and improvement of quality of life in rural India.

Objectives

- 1 1. Providing livelihood opportunities to rural Below Poverty Level (BPL) households.
- 2 2. Providing for the enhancement of livelihood security of households in rural areas by providing at least 100 days of guaranteed wage employment in every financial year to every household
- 3 3. Provision of all-weather rural connectivity to unconnected rural habitations and upgradation of existing roads.
- 4 4. Providing basic housing and homestead to BPL households in rural areas.
- 5 5. Providing social assistance to the elderly, widow and disabled persons.

Functions

- 1 Implementation and monitoring of following programmes for development in rural areas with particular aim to reach out to the most disadvantaged sections of the society in rural areas:- (i) Swarnajayanti Gram Swarozgar Yojana (SGSY) for providing livelihood opportunities. (ii) National Rural Employment Guarantee Scheme under National Rural Employment Guarantee Act, 2005, (NREGA) for providing for the enhancement of livelihood security to households. (iii) Pradhan Mantri Gram Sadak Yojana (PMGSY) for providing rural connectivity (iv) Indira Awaas Yojana for rural housing (IAY) (v) National Social Assistance Programme for social safety net (NSAP) (vi) Administration of District Rural Development Agencies (DRDA) (vii) Provision of Urban Amenities in Rural Areas (PURA) (viii) Training and Capacity Building of rural development functionaries
- 2 To frame appropriate action plan for the effective and optimum result-oriented implementation of programmes being implemented by this Department keeping in view the broad policies/ guidelines and the budgetary resources made available by the Planning Commission and Ministry of Finance etc
- 3 To interact with other Ministries/ Departments, State Governments and other bodies with a view to take concerted action for effective and meaningful implementation of programmes being run by this Department in an effective and convergent manner.
- 4 To seek sufficient budgetary support for various rural development programmes being implemented by the Department of Rural Development.
- 5 Meetings of the Performance Review Committee for periodic reviews of the programmes being implemented by Department of Rural Development.

Section 1: Vision, Mission, Objectives and Functions

- 6 Public cooperation, including all matters relating to voluntary agencies and Council of Advancement of People's Action and Rural Technology (CAPART).
- 7 Matters relating to National Institute of Rural Development, Hyderabad.
- 8 Matters relating to National Rural Road Development Authority, New Delhi.
- 9 Rural Development matters relating to International Forums such as:- (i) Afro-Asian Rural Development Organization (AARDO) (ii) Centre on Integrated Rural Development for Asia and the Pacific (CIRDAP) (iii) South Asian Association for Regional Cooperation (SAARC). (iv) Bay of Bengal Initiative for Multi Sectoral and Technical Cooperation (BIMSTEC).

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

| Objective | Weight | Action | Success Indicator | Unit | Weight | Target / Criteria Value | | | | |
|--|--------|--|---|--------------------------------|--------|-------------------------|----------|-------|-------|-------|
| | | | | | | Excellent | VeryGood | Good | Fair | Poor |
| | | | | | | 100% | 90% | 80% | 70% | 60% |
| 1 1. Providing livelihood opportunities to rural Below Poverty Level (BPL) households. | 15.00 | 1.1 Release of allocated funds | Release of total allocated funds | Amount in Rs. crores | 3.750 | 822 | 740 | 657.6 | 575.4 | 493.2 |
| | | 1.2 Formation of Self Help Groups (SHGs) | No. of SHGs formed | No. of SHGs (in lakh) | 2.250 | 1 | 0.9 | 0.8 | 0.7 | 0.6 |
| | | 1.3 Capacity Building and Training of beneficiaries | Number of beneficiaries provided training | No. of beneficiaries (in lakh) | 3.000 | 2.32 | 2.09 | 1.86 | 1.62 | 1.39 |
| | | 1.4 Provision of economic assistance (credit and subsidy) to beneficiaries | Number of beneficiaries assisted | No. of persons (in lakh) | 3.750 | 6.38 | 5.93 | 5.1 | 4.46 | 3.83 |
| | | 1.5 Sanction of Placement linked skill development projects through private sector participation | Number of beneficiaries trained and placed | No. of beneficiaries | 2.250 | 10000 | 9000 | 8000 | 7000 | 6000 |
| 2 2. Providing for the enhancement of livelihood security of households in rural areas by providing at least 100 days of guaranteed wage employment in every financial year to every household | 30.00 | 2.1 Rural households provided employment under NREGS# | No. of rural households | Nos. in crores | 2.100 | 4.7 | 3.81 | -- | -- | -- |
| | | 2.2 Person days generated under NREGS# | No. of persondays generated | Nos. in crores | 2.100 | 230.00 | 186.30 | -- | -- | -- |
| | | 2.3 Persondays generated under NREGS (women)# | Percentage of persondays (women) generated. | % | 1.800 | 50 | 40.50 | -- | -- | -- |
| | | 2.4 Approval of State Labour Budget for 2010-11 | Approval of Labour Budget of 50% of States | Nos. | 3.000 | 17 | 14 | -- | -- | -- |
| | | 2.5 Release of funds to States | Release of funds to States | Demand based | 3.000 | -- | -- | -- | -- | -- |
| | | 2.6 Establishment of NREGA helpline | One Help desk set up | Nos. | 3.000 | 1 | 0 | 0 | 0 | 0 |
| | | 2.7 Organization of NREGA Mela | Organization of NREGA Mela | -- | 3.000 | -- | -- | -- | -- | -- |

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

| Objective | Weight | Action | Success Indicator | Unit | Weight | Target / Criteria Value | | | | |
|--|--------|---|---|------------------------------|--------|-------------------------|----------|-------|-------|-------|
| | | | | | | Excellent | VeryGood | Good | Fair | Poor |
| | | | | | | 100% | 90% | 80% | 70% | 60% |
| | | 2.8 Presentation of awards for Excellence in NREGA Administration and Rozgar Jagrookta Puraskar | All awardees presented awards | Yes/No | 3.000 | 1 | 0 | 0 | 0 | 0 |
| | | 2.9 Review by Central Employment Guarantee Council | No. of Meetings held | Nos. | 4.500 | 3 | 3 | 2 | 2 | 1 |
| | | 2.10 Regional Review Meetings in States | No. of Meetings held | Nos. | 4.500 | 1 | 0 | 0 | 0 | 0 |
| 3 3. Provision of all-weather rural connectivity to unconnected rural habitations and upgradation of existing roads. | 20.00 | 3.1 Approval of detailed project reports (DPRs) of new rural roads | Sanctioning of new connectivity | Length of rural roads (Kms.) | 8.000 | 5000 | 4500 | 4000 | 3500 | 3000 |
| | | 3.2 Release of funds to SRRDAs | Release of funds | Amount Rs in Crores | 8.000 | 3000 | 2700 | 2400 | 2100 | 1800 |
| | | 3.3 Monitoring of progress through MPRs and QPRs | No. of review meetings | No. | 2.000 | 10 | 8 | 6 | 4 | 2 |
| | | 3.4 Quality monitoring – inspection by NQMs | No. of inspections carried out | No. | 2.000 | 1350 | 1215 | 1080 | 945 | 815 |
| 4 4. Providing basic housing and homestead to BPL households in rural areas. | 20.00 | 4.1 Release of funds | Timely Release of funds | Rs.in Crores | 6.000 | 8800 | 7920 | 7040 | 6160 | 5280 |
| | | 4.2 Fulfilment of physical target for the year | Construction of houses | No. of houses in lakhs | 6.000 | 40.52 | 36.47 | 32.42 | 28.36 | 24.31 |
| | | 4.3 Focus on weaker sections | House sanctioned for SC/STs | No. houses in lakhs | 4.000 | 24.31 | 21.88 | 19.45 | 17.01 | 14.59 |
| | | 4.4 Monitoring of performance of States | Effective Monitoring by way of monthly meetings of coordinating officers, quarterly meetings of Secretaries and annual meeting of PDs, DRDAs etc. | No. of meetings | 4.000 | 10 | 9 | 8 | 7 | 6 |

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

| Objective | Weight | Action | Success Indicator | Unit | Weight | Target / Criteria Value | | | | |
|--|--------|--|--|---------------|--------|-------------------------|----------|------|------|------|
| | | | | | | Excellent | VeryGood | Good | Fair | Poor |
| | | | | | | 100% | 90% | 80% | 70% | 60% |
| 5 5. Providing social assistance to the elderly, widow and disabled persons. | 10.00 | 5.1 Scrutiny of proposals for release of funds | Release of funds to the States | Rs. In Crores | 3.000 | 5109 | 4598 | 4087 | 3576 | 3065 |
| | | 5.2 Identification of eligible beneficiaries for Pension Schemes for the Aged, Widows and the Disabled | Coverage of beneficiaries vis-à-vis the estimated numbers | No. in lakhs | 3.000 | 180 | 162 | 144 | 126 | 108 |
| | | 5.3 Identification of eligible households for assistance under NFBS | Coverage of beneficiaries vis-à-vis the estimated numbers | No. in lakhs | 2.000 | 4.00 | 3.60 | 3.20 | 2.80 | 2.40 |
| | | 5.4 Placing the database of pensioners in the public domain | Uploading of pensioners database vis-à-vis the physical coverage | Nos. in lakhs | 2.000 | 90 | 81 | 72 | 63 | 54 |
| * Efficient Functioning of the RFD System | 5.00 | Timely submission of Draft for Approval | On-time submission | Number | 2.000 | 0.00 | 1.00 | 2.00 | 3.00 | 4.00 |
| | | Timely submission of Results | On- time submission | Number | 2.000 | 0.00 | 1.00 | 2.00 | 3.00 | 4.00 |
| | | Finalize a Strategic Plan | Finalize the Strategic Plan for next 5 years | Number | 1.000 | 0.00 | 1.00 | 2.00 | 3.00 | 4.00 |

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

| Objective | Action | Success Indicator | Unit | Actual Value for FY 07/08 | Actual Value for FY 08/09 | Target Value for FY 09/10 | Projected Value for FY 10/11 | Projected Value for FY 11/12 |
|--|--|---|--------------------------------|---------------------------|---------------------------|---------------------------|------------------------------|------------------------------|
| 1 1. Providing livelihood opportunities to rural Below Poverty Level (BPL) households. | 1.1 Release of allocated funds | Release of total allocated funds | Amount in Rs. crores | 1697 | 2338 | 1052 | -- | -- |
| | 1.2 Formation of Self Help Groups (SHGs) | No. of SHGs formed | No. of SHGs (in lakh) | 3.06 | 5.60 | 4.00 | -- | -- |
| | 1.3 Capacity Building and Training of beneficiaries | Number of beneficiaries provided training | No. of beneficiaries (in lakh) | 4.40 | 5.73 | 6.16 | -- | -- |
| | 1.4 Provision of economic assistance (credit and subsidy) to beneficiaries | Number of beneficiaries assisted | No. of persons (in lakh) | 13.53 | 17.63 | 18.22 | -- | -- |
| | 1.5 Sanction of Placement linked skill development projects through private sector participation | Number of beneficiaries trained and placed | No. of beneficiaries | 24000 | 27000 | 40000 | -- | -- |
| 2 2. Providing for the enhancement of livelihood security of households in rural areas by providing at least 100 days of guaranteed wage employment in every financial year to every household | 2.1 Rural households provided employment under NREGS# | No. of rural households | Nos. in crores | 3.39 | 4.51 | 4.7 | -- | -- |
| | 2.2 Person days generated under NREGS# | No. of persondays generated | Nos. in crores | 143.59 | 216.32 | 230 | -- | -- |
| | 2.3 Persondays generated under NREGS (women)# | Percentage of persondays (women) generated. | % | 42 | 48 | 50 | -- | -- |
| | 2.4 Approval of State Labour Budget for 2010-11 | Approval of Labour Budget of 50% of States | Nos. | 8 | 25 | 17 | -- | -- |
| | 2.5 Release of funds to States | Release of funds to States | Demand based | -- | -- | -- | -- | -- |
| | 2.6 Establishment of NREGA helpline | One Help desk set up | Nos. | 0 | 0 | 1 | -- | -- |
| | 2.7 Organization of NREGA Mela | Organization of NREGA Mela | -- | 0 | 0 | 1 | -- | -- |

Section 3: Trend Values of the Success Indicators

| Objective | Action | Success Indicator | Unit | Actual Value for FY 07/08 | Actual Value for FY 08/09 | Target Value for FY 09/10 | Projected Value for FY 10/11 | Projected Value for FY 11/12 |
|--|---|---|------------------------------|---------------------------|---------------------------|---------------------------|------------------------------|------------------------------|
| | 2.8 Presentation of awards for Excellence in NREGA Administration and Rozgar Jagrookta Puraskar | All awardees presented awards | Yes/No | 0 | 27 | -- | -- | -- |
| | 2.9 Review by Central Employment Guarantee Council | No. of Meetings held | Nos. | 2 | 4 | 3 | -- | -- |
| | 2.10 Regional Review Meetings in States | No. of Meetings held | Nos. | 0 | 0 | 1 | -- | -- |
| 3 3. Provision of all-weather rural connectivity to unconnected rural habitations and upgradation of existing roads. | 3.1 Approval of detailed project reports (DPRs) of new rural roads | Sanctioning of new connectivity | Length of rural roads (Kms.) | 74903 | 93023 | 30000 | 30000 | 30000 |
| | 3.2 Release of funds to SRRDAs | Release of funds | Amount Rs in Crores | 11000 | 15280 | 18500 | 27723 | 22128 |
| | 3.3 Monitoring of progress through MPRs and QPRs | No. of review meetings | No. | 20 | 28 | 28 | 28 | 28 |
| | 3.4 Quality monitoring – inspection by NQMs | No. of inspections carried out | No. | 4621 | 4873 | 4200 | 4300 | 4400 |
| 4 4. Providing basic housing and homestead to BPL households in rural areas. | 4.1 Release of funds | Timely Release of funds | Rs.in Crores | 3882.37 | 8795.79 | 8800 | 11228 | 12298 |
| | 4.2 Fulfilment of physical target for the year | Construction of houses | No. of houses in lakhs | 19.92 | 21.34 | 40.52 | 40.52 | 44.57 |
| | 4.3 Focus on weaker sections | House sanctioned for SC/STs | No. houses in lakhs | 12.01 | 17.88 | 24.31 | 24.31 | 26.74 |
| | 4.4 Monitoring of performance of States | Effective Monitoring by way of monthly meetings of coordinating officers, quarterly meetings of Secretaries and annual meeting of PDs, DRDAs etc. | No. of meetings | 10 | 10 | 10 | 10 | 10 |

Section 3: Trend Values of the Success Indicators

| Objective | Action | Success Indicator | Unit | Actual Value for FY 07/08 | Actual Value for FY 08/09 | Target Value for FY 09/10 | Projected Value for FY 10/11 | Projected Value for FY 11/12 |
|--|--|--|---------------|---------------------------|---------------------------|---------------------------|------------------------------|------------------------------|
| 5 5. Providing social assistance to the elderly, widow and disabled persons. | 5.1 Scrutiny of proposals for release of funds | Release of funds to the States | Rs. In Crores | 2851 | 4442 | 5109 | 5400 | 5750 |
| | 5.2 Identification of eligible beneficiaries for Pension Schemes for the Aged, Widows and the Disabled | Coverage of beneficiaries vis-à-vis the estimated numbers | No. in lakhs | 115 | 150 | 180 | 210 | 222 |
| | 5.3 Identification of eligible households for assistance under NFBS | Coverage of beneficiaries vis-à-vis the estimated numbers | No. in lakhs | 3.34 | 4.22 | 4.00 | 4.22 | 4.22 |
| | 5.4 Placing the database of pensioners in the public domain | Uploading of pensioners database vis-à-vis the physical coverage | Nos. in lakhs | 0 | 0 | 90 | 150 | 222 |
| * Efficient Functioning of the RFD System | Timely submission of Draft for Approval | On-time submission | Number | 23 | 20 | 125 | 23 | 25 |
| | Timely submission of Results | On- time submission | Number | 23 | 20 | 23 | 23 | 23 |
| | Finalize a Strategic Plan | Finalize the Strategic Plan for next 5 years | Number | 23 | 40 | 32 | 23 | 23 |

* Mandatory Objective(s)

**Section 4:
Description and Definition of Success Indicators
and Proposed Measurement Methodology**

All the schemes of DoRD are centrally sponsored schemes which are implemented through the State Governments, District Rural Development Agencies (DRDAs) and Panchayati Raj Institutions (PRIs). The role of Union Government is to issue guidelines of the schemes/programmes, provide financial assistance, periodically monitor the progress and evaluate the impact of the schemes. The various success indicators and measurement methodology have been prescribed accordingly.

1. SGSY¹:

1.1 Release of allocated funds - This indicator will measure the release of funds by the Department of Rural Development to the States/UTs under SGSY.

1.2 Formation of Self Help Groups (SHGs) - This indicator will measure the actual number of SHGs formed

1.3 Capacity Building and Training of beneficiaries –This indicator will measure the number of beneficiaries provided training.

1.4 Provision of economic assistance (credit and subsidy) to beneficiaries –This indicator will measure number of beneficiaries assisted.

1.5 Sanction of Placement linked skill development projects through private sector participation –This indicator will measure number of beneficiaries trained and placed.

2. NREGS:

2.1 Rural households provided employment under NREGS: This indicator will measure the number of households provided employment under the Scheme during the financial year

2.2 Person days generated - This indicator will measure number of persondays generated.

2.3 Person days generated under NREGS (women) –This indicator will measure percentage of persondays (women) generated

2.4 Approval of State Labour budget for 2010-11 –This indicator will measure approval of labour budget of 50% of States.

2.5 Release of funds to States –This indicator will measure release of funds to States which is demand based.

2.6 Establishment of NREGA helpline –This indicator will measure setting up of One help desk.

2.7 Organisation of NREGA Mela –This indicator will measure organization of NREGA Mela which will be held in February, 2010.

2.8 Presentation of awards for Excellence in NREGA Administration and Rozgar Jagrookta Puraskar –This indicator will measure whether all awardees presented awards.

2.9 Review by Central Employment Guarantee Council –This indicator will measure number of meetings held.

2.10 Regional Review Meetings in States –This indicator will measure no. of meetings held.

3. PMGSY:

3.1 Approval of Detailed Project Reports² –This indicator will measure the length of the roads sanctioned by the Ministry after examining the DPRs submitted by State Governments.

3.2 Release of funds to SRRDAs –This indicator will measure the release of funds to State Rural Road Development Agencies.

3.3. Monitoring of progress through MPRs and QPRs –This indicator will measure number of meetings held to monitor the progress of construction of roads.

3.4 Quality Monitoring –Inspection by National Quality Monitors –This indicator will measure no. of inspections carried out by National Quality Monitors.

4. IAY³:

4.1 Release of funds –This indicator will measure the release of funds.

4.2 Fulfilment of physical target for the year –This indicator will measure the number of house constructed.

4.3 Focus on weakere sections –This indicator will measure number of houses sanctioned for SC/STs.

4.4 Monitoring the performance of States –This indicator will measure the number of meetings held by way of monthly meetings of coordinating officers, quarterly meetings of Secretaries and annual meetings of Project Directors, DRDAs etc.

5. NSAP:

5.1 Scrutiny of proposals for release of funds –This indicator will measure of release of funds to the States.

5.2 Identification of eligible beneficiaries for Pension Schemes for the Aged, Widows and the Disabled –This indicator will measure the coverage of beneficiaries vis-à-vis the estimated

numbers.

5.3 Identification of eligible households for assistance under NFBS –This indicator will measure coverage of eligible households vis-à-vis the estimated numbers.

5.4 Placing the database of pensioners in the public domain –This indicator will measure uploading of pensioners database vis-à-vis the physical coverage.

N.B.

1: Evaluation of the ongoing programme would be considered in the year 2010-11 as suggested by the Ad-hoc Task Force. The Terms of Reference for evaluation would include the status of the micro enterprises established by Self-help Group which were 3 to 5 years old.

2: The roads under PMGSY are constructed by the State Agencies. Therefore, it is not possible to provide the indicator of completion of roads constructed under PMGSY. The length of roads constructed is 41,231 Kms., 52,405 Kms., and 22,245 Kms. in 2007-08, 2008-09 and 2009-10 (till Sept., 2009) respectively.

3: Since the success indicators of IAY relating to States have already been indicated in Section 2 and 3, there is no need to report the impact of the programme. As regards convergence, since the convergence of IAY with TSC and Department of Power has already been established, these Departments should also show indicators for providing sanitary latrines and electricity connections respectively in IAY houses targeted for the year.

Section 5:
Specific Performance Requirements from other Departments

| Department(s)) from whom support needed | SGSY | NREGA | PMGSY | IAY | NSAP | General |
|--|---|--|--|--|--------------------------------------|------------------------------------|
| State Governments | Timely Submission of proposals, Utilisation Certificates, Audited Expenditure Statements, Effective implementation of programmes etc. | | | | | |
| Panchayati Raj Institutions | - | Timely projection of demand and effective implementatio n of the Programme | Preparation of District Core Network of Rural Roads; Monitoring the progress of road construction | Preparation of permanent wait list of IAY | - | - |
| Ministry of Finance (Deptt. of Economic Affairs) | - | - | Mobilization of Assistance from External Agencies (World Bank, ADB) | - | Timely release of funds to States | Approval of Plan allocations |
| Ministry of Home Affairs | - | - | - | - | Timely release of funds to U.Ts. | - |
| Planning Commission | Approval of Plan allocations for all the Programmes. | | | | | |
| DOPT and other Cadre Ministries etc. | Timely filling up the posts of various cadres in the Department | | | | | |
| Public Sector Banks | Timely Sanctioning economic projects of SHGs and release of credit | Opening of accounts of beneficiaries | - | To provide loans to beneficiaries under Differential Rate of Interest (DRI) with minimal required documentation. | - | - |