



R F D

Results Framework Document
for
Department of Drinking Water Supply

(2009-2010)

Section 1: Vision, Mission, Objectives and Functions

Vision

Safe drinking water and improved sanitation for all, at all times, in rural India.

Mission

To ensure all rural households have access to and use safe and sustainable drinking water and improved sanitation facilities by providing support to States in their endeavour to provide these basic facilities and services.

Objectives

- 1 In rural areas of the country, enable all households have access to and use safe drinking water
- 2 In rural areas of the country, enable communities to monitor and keep surveillance on their drinking water sources
- 3 In rural areas of the country, enable all households to have access to and use toilets
- 4 In rural areas of the country, ensure all government schools and anganwadis have functional toilets, urinals and access to safe drinking water
- 5 In rural areas of the country, provide enabling support and environment for Panchayat Raj Institutions and local communities to manage their own drinking water sources and systems, and sanitation in their villages
- 6 In rural areas of the country, provide access to information through online reporting mechanism with information placed in public domain to bring in transparency and informed decision making

Functions

- 1 Planning, implementation and monitoring of centrally sponsored programmes and schemes for safe drinking water and sanitation in rural areas
- 2 Conduct periodic performance reviews with all States
- 3 Supporting R&D initiatives, IEC and HRD activities for all stakeholders in drinking water and sanitation sector;
- 4 Providing support to States in the wake of natural calamities to mitigate drinking water and sanitation problems in rural areas
- 5 Building partnerships and synergizing efforts with other sector partners, organizations, UN and bilateral agencies, NGOs, R&D institutions and civil society in our common endeavour to ensure access to safe drinking water and sanitation for rural communities
- 6 Assisting States in resource mobilization from multilateral and bilateral agencies through Government of India
- 7 Technical support to States through seminars, interactions, documentation of best practices and innovations
- 8 Provide inputs to other Departments/ Ministries for formulation of policies impacting water and sanitation issues

Section 1: Vision, Mission, Objectives and Functions

- 9 Formulate and review the Demand for Grant of the Department, respond to Audit observations, VIP references, and administrative functions of the Department
- 10 Recognizing and awarding panchayats and organizations for excellent work in rural sanitation

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
1 In rural areas of the country, enable all households have access to and use safe drinking water	35.00	Release of BE plan allocation under NRDWP	Allocated funds released.	%	9.499	100	95	90	85	80
		Coverage of slipped-back habitations	Coverage of slipped-back habitations with adequate safe water supply.	Number	10.000	123405	115000	100000	90000	80000
		Coverage of quality- affected habitations	Coverage of quality-affected habitations with adequate safe water supply.	Number	10.000	34595	32000	30000	28000	26000
		Coverage of remaining un-covered habitations	Coverage of remaining un-covered habitations with adequate safe water supply.	Number	2.999	627	600	580	560	540
		Preparation of Note for Cabinet on changing criteria for allocation	Note for Cabinet sent to Cab Sectt.	Date	1.999	15/02/2010	25/02/2010	05/03/2010	15/03/2010	25/03/2010
		Release of BE plan allocation under Jalmani	Allocated funds released.	%	0.500	100	95	90	85	80
2 In rural areas of the country, enable communities to monitor and keep surveillance on their drinking water sources	5.00	Training of village level persons for water quality testing.	Number of persons trained.	Number	2.000	100000	90000	80000	70000	60000
		Water samples testing for quality in laboratories.	Number of quality tests done.	Number	1.000	70000	63000	56000	49000	42000
		Water samples testing for quality at Panchayat/village levels	Number of quality tests done.	Number	2.000	100000	90000	80000	70000	60000
3 In rural areas of the country, enable all households to have access to and use toilets	25.00	Release of BE plan allocation under TSC & NGP.	Allocated funds released.	%	10.000	100	95	90	85	80
		Construction of household toilets.	Number of toilets constructed.	Number in lakh	15.000	115	110	105	100	95
4 In rural areas of the country, ensure all government schools and anganwadis have functional toilets, urinals and access to safe drinking water	10.00	Construction of toilet blocks.	Number of schools covered.	Number in lakh	2.000	2.53	2.45	2.37	2.29	2.21

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
			Number of anganwadis covered.	Number	2.000	70000	66000	62000	58000	54000
		Provision of safe water supply in the remaining rural schools.	Number of schools covered.	Number	3.000	23000	22000	21000	20000	19000
		Provision of safe water in schools by providing standalone water purification units (Jalmani).	Number of schools covered.	Number	3.000	25000	23000	21000	19000	17000
5 In rural areas of the country, provide enabling support and environment for Panchayat Raj Institutions and local communities to manage their own drinking water sources and systems, and sanitation in their villages	15.00	As per Table for water supply programme.	Aggregate Score as per Table 2.	Score	8.000	100	90	80	70	60
		As per Table for sanitation programme.	Aggregate Score as per Table 3.	Score	7.000	100	90	80	70	60
6 In rural areas of the country, provide access to information through online reporting mechanism with information placed in public domain to bring in transparency and informed decision making	5.00	Updation of water supply programme data on monthly basis.	To be done by 17th of following month.	Date	3.000	17	20	23	26	28
		Updation of sanitation programme data on monthly basis.	To be done by 17th of following month.	Date	2.000	17	20	23	26	28
* Efficient Functioning of the RFD System	5.00	Timely submission of Draft for Approval	On-time submission	Number	2.000	0.00	1.00	2.00	3.00	4.00
		Timely submission of Results	On- time submission	Number	2.000	0.00	1.00	2.00	3.00	4.00
		Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Number	1.000	0.00	1.00	2.00	3.00	4.00

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
1 In rural areas of the country, enable all households have access to and use safe drinking water	Release of BE plan allocation under NRDWP	Allocated funds released.	%	100	100	100	100	100
	Coverage of slipped-back habitations	Coverage of slipped-back habitations with adequate safe water supply.	Number	93958	131204	123405	125000	130000
	Coverage of quality- affected habitations	Coverage of quality-affected habitations with adequate safe water supply.	Number	--	--	34595	35000	40000
	Coverage of remaining un-covered habitations	Coverage of remaining un-covered habitations with adequate safe water supply.	Number	11457	17412	627	--	--
	Preparation of Note for Cabinet on changing criteria for allocation	Note for Cabinet sent to Cab Sectt.	Date	--	--	15/02/2010	--	--
	Release of BE plan allocation under Jalmani	Allocated funds released.	%	--	100	100	100	100
2 In rural areas of the country, enable communities to monitor and keep surveillance on their drinking water sources	Training of village level persons for water quality testing.	Number of persons trained.	Number	110458	191517	100000	100000	100000
	Water samples testing for quality in laboratories.	Number of quality tests done.	Number	46442	62209	70000	80000	9000010204
	Water samples testing for quality at Panchayat/village levels	Number of quality tests done.	Number	10204	190708	100000	105000	110000
3 In rural areas of the country, enable all households to have access to and use toilets	Release of BE plan allocation under TSC & NGP.	Allocated funds released.	%	93.99	99.40	100	100	100
	Construction of household toilets.	Number of toilets constructed.	Number in lakh	115	116	115	116	117
4 In rural areas of the country, ensure all government schools	Construction of toilet blocks.	Number of schools covered.	Number in lakh	2.36	2.53	2.53	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
and anganwadis have functional toilets, urinals and access to safe drinking water		Number of anganwadis covered.	Number	86000	69000	70000	--	--
	Provision of safe water supply in the remaining rural schools.	Number of schools covered.	Number	59136	37515	23000	--	--
	Provision of safe water in schools by providing standalone water purification units (Jalmani).	Number of schools covered.	Number	--	0	25000	50000	50000
5 In rural areas of the country, provide enabling support and environment for Panchayat Raj Institutions and local communities to manage their own drinking water sources and systems, and sanitation in their villages	As per Table for water supply programme.	Aggregate Score as per Table 2.	Score	--	--	8	10	10
	As per Table for sanitation programme.	Aggregate Score as per Table 3.	Score	--	--	7	10	10
6 In rural areas of the country, provide access to information through online reporting mechanism with information placed in public domain to bring in transparency and informed decision making	Updation of water supply programme data on monthly basis.	To be done by 17th of following month.	Date	20	17	17	15	15
	Updation of sanitation programme data on monthly basis.	To be done by 17th of following month.	Date	20	17	17	15	15
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Number	23	20	125	23	25

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
	Timely submission of Results	On- time submission	Number	23	20	23	23	23
	Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Number	23	40	32	23	23

* Mandatory Objective(s)

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Allocated funds released

- (i) This indicator will measure the release of funds by the Department of Drinking Water Supply to the States under the schemes of the Department.
- (ii) Proposed measurement methodology: Reports from the States/UTs/districts.

Number of Slipped-back habitations with adequate safe water supply

- (i) This indicator will measure the actual numbers of slipped-back habitations (habitations where infrastructure is not working to full capacity and thus providing less water) provided with public infrastructure to deliver safe drinking water.
- (ii) Proposed measurement methodology: Reports from the States/UTs/districts.

Number of Quality-affected habitations with adequate safe water supply

- (i) This indicator will measure the actual numbers of quality-affected habitations (chemically contaminated drinking water sources) provided with public infrastructure to deliver safe drinking water.
- (ii) Proposed measurement methodology: Reports from the States/UTs/districts.

Coverage of remaining Un-covered habitations with adequate safe water supply

- (i) This indicator will measure the actual numbers of un-covered habitations provided with public infrastructure to deliver safe drinking water (presently having no infrastructure and or getting less than prescribed in the norms or not within prescribed minimum distance).
- (ii) Proposed measurement methodology: Reports from the States/UTs/districts.

Number of household toilets constructed

- (i) Household with toilets will be included for computing this indicator.
- (ii) Proposed measurement methodology: Reports from the States/UTs/districts.

Number of Schools / anganwadis covered with toilet blocks

- (i) This indicator will measure the number of toilet units constructed in schools and anganwadis.
- (ii) Proposed measurement methodology: Reports from the States/UTs/districts.

Provision of safe water supply in the remaining rural schools (Number of schools covered)

- (i) This indicator will measure the number of all remaining uncovered rural schools provided with water supply for drinking, use in toilets, handwashing etc.
- (ii) Proposed measurement methodology: Reports from the States/UTs/districts.

Provision of safe drinking water in schools by providing stand-alone water purification units (Number of schools covered)

- (i) This indicator will measure the number of schools provided with stand-alone water purification / treatment units to treat chemical / bacteriological contamination for safe drinking water.
- (ii) Proposed measurement methodology: Reports from the States/UTs/districts.

Note for Cabinet sent to Cabinet Secretariat

- (i) This indicator measures the date of compliance of the target.
- (ii) Proposed measurement methodology: Monitoring the target date for the indicator.

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Number of persons trained

- (i) This indicator measures the number of people from villages, Gram Panchayats trained to use field kits for testing the water quality of local sources.
- (ii) Proposed measurement methodology: Reports from States/UTs/districts.

Number of quality tests done

- (i) This indicator measures the number of water samples tested by the district / sub-divisional laboratories and at the village Gram Panchayats through field test kits.
- (ii) Proposed measurement methodology: Reports from States/UTs/districts.

Updation of data to be done by 17th of following month

- (i) This indicator measures the date of compliance of the target.
- (ii) Proposed measurement methodology: Monitoring the target date for the indicator through date of entry on the IMIS.

Final decision on action points identified in TEG Report related to restructuring of RGNDWM

- (i) This indicator measures the date of compliance of the target.
- (ii) Proposed measurement methodology: Monitoring the target date for the indicator.

Finalisation of Key Resource Centers for HRD activities

- (i) This indicator measures the date of administrative approval of a list of key Resource Centers for taking up HRD activities.
- (ii) Proposed measurement methodology: Monitoring the target date for the indicator.

Training Need Assessment for sector professionals in States

- (i) This indicator measures the number of States where training needs assessment has been done and Assessment Report is submitted.
- (ii) Proposed measurement methodology: Monitoring the target number of States for the indicator.

Awareness campaign on safe water to be launched on radio

- (i) This indicator measures the date of launch of the awareness generation campaign on safe water on radio.
- (ii) Proposed measurement methodology: Monitoring the target date for the indicator.

Awareness campaign on NIRMAL BHARAT to be launched on radio

- (i) This indicator measures the date of launch of the awareness generation campaign on NIRMAL BHARAT on radio.
- (ii) Proposed measurement methodology: Monitoring the target date for the indicator.

IEG Guidelines prepared and sent to States

- (i) This indicator measures the date of sending of the Guidelines to all States.
- (ii) Proposed measurement methodology: Monitoring the target date for the indicator.

IEG Guidelines prepared on rural sanitation for triggering and sustaining TSC and sent to States

- (i) This indicator measures the compliance of target i.e. sending of the Guidelines to all States.
- (ii) Proposed measurement methodology: Monitoring the indicator.

Workshops / seminars / trainings organised

- (i) This indicator measures the number of workshops / seminars / trainings organized by the Department.

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Research project approved

- (i) This indicator measures the number of research projects approved by the Department.
- (ii) Proposed measurement methodology: Count of such projects.

Review Meetings with States

- (i) This indicator measures the number of review meetings held to review implementation of programmes with States.
- (ii) Proposed measurement methodology: Count of such activities.

Nirmal Gram Puraskar – Selection of Awardees

- (i) This indicator measures the date of compliance of the target.
- (ii) Proposed measurement methodology: Monitoring the target date for the indicator.

Nirmal Gram Puraskar – Holding of Annual Function

- (i) This indicator measures the compliance of the target.
- (ii) Proposed measurement methodology: Monitoring the indicator.

Nirmal Gram Puraskar – Initiating review of guidelines

- (i) This indicator measures the date of compliance of the target.
- (ii) Proposed measurement methodology: Monitoring the target date for the indicator.

Documentation – Publication of case studies / Best practices on sanitation issues

- (i) This indicator measures the number of publications brought out.
- (ii) Proposed measurement methodology: Monitor the indicator.

Monitoring and Evaluation – Initiating study on impact of NGP

- (i) This indicator measures the awarding of the study to a professional agency for carrying out impact study of NGP.
- (ii) Proposed measurement methodology: Monitor the indicator.

Availability of incumbents against sanctioned posts

- (i) This indicator measures the date availability of adequate staff to perform the basic functions of the Secretariat to implement the programmes of the Department.
- (ii) Proposed measurement methodology: Number of vacant posts filled.

Section 5: Specific Performance Requirements from other Departments

1. State Governments / UT Administration

1. Relevant success indicator : For the two Programmes, success indicators are:
 1. Coverage of habitations
 2. Construction of household toilets
 3. Coverage of Schools and Anganwadis with toilets, water supply and purification kits
 4. Water quality testing by labs, training of grass-root persons for testing
2. What we need : Performance & reporting.
3. Why we need : They are implementing agency.
4. How much we need : 100%
5. What happens if we do not get it : Performance gets affected.

1. Ministry of Finance

1. Relevant Success Indicator : Coverage of schools and aanganwadis with toilets.
2. What we need : Adequate funds.
3. Why we need : To cover all remaining schools and aanganwadis.
4. How much we need : Rs. 500 crore additional funds.
5. What happens if we do not get it : Performance gets affected.

1. Planning Commission

1. Relevant Success Indicator : Cabinet Note.
2. What we need : Comments should be given within prescribed time limits.
3. Why we need : To finalise Cabinet Note and EFC Note.
4. How much we need : 100%.
5. What happens if we do not get it : Note for Cabinet / EFC cannot be sent.

1. Department of Rural Development and Department of Personnel & Training

1. Relevant Success Indicator : Filling up posts of SO, US, DS and Dir.
2. What we need : Posting of persons against the vacancies.
3. Why we need : DoRD and DoPT are cadre controlling authorities.
4. How much we need : 100%.
5. What happens if we do not get it : Performance gets affected.